I. PURPOSE

To define and describe the accepted indications and clinical criteria applied to requests for serum and tissue markers for medical necessity.

II. DEFINITIONS

Biomarker - A specific biological abnormality found in blood, other body fluids, or tissues that is a sign of a normal or abnormal process, or of a condition or disease. A biomarker may be used to see how well the body responds to a treatment for a disease or condition. Also called molecular marker.

Genetic Testing - Genetic testing looks for specific inherited changes (variants) in a person’s genes. Genetic variants can have harmful, beneficial, neutral (no effect), or unknown or uncertain effects on the risk of developing diseases. Harmful variants in some genes are known to be associated with an increased risk of developing cancer. These inherited variants are thought to contribute to about 5 to 10% of all cancers.

Genomic Tests/ Biomarker Analysis: These tests are performed on tumor tissue. Genomic tests look for specific DNA-level (genomic) abnormalities in tumor tissue. A variety of methods may be utilized to perform these tests, such as NGS - Next Generation Sequencing. The term “Biomarker” is an umbrella term that includes both genomic (e.g. EGFR mutation) and non-genomic (PD-L1 expression) abnormalities in tumor tissue. The term “Companion Diagnostics” refers to a specific test that is required prior to the approval of a specific drug/agent. A list of Companion Diagnostic Tests is maintained by the FDA.

Hereditary Cancer Syndrome/Inherited cancer susceptibility syndromes - A type of inherited disorder in which there is a higher-than-normal risk of certain types of cancer. Hereditary cancer syndromes are caused by mutations (changes) in certain genes passed from parents to children. In a hereditary cancer syndrome, certain patterns of cancer may be seen within families. These patterns include having several close family members (such as a mother, daughter, and sister) with the same type of cancer, developing cancer at an early age, or having two or more types of cancer develop in the same person. Examples of hereditary cancer syndromes are hereditary breast and ovarian cancer syndrome, Li-Fraumeni syndrome, Cowden syndrome, and Lynch syndrome. Also called family cancer syndrome and inherited cancer syndrome.

Next-Generation Sequencing (NGS) A high-throughput method used to analyze the genome (DNA) of tumor tissue and or circulating tumor cells or cell-free DNA. This technique utilizes DNA sequencing technologies that are capable of processing multiple DNA sequences in parallel. Also called massively parallel sequencing and NGS.

III. POLICY

1. New Century Health authorizes genomic and biomarker test requests when results of said tests would impact therapeutic decision making and peer reviewed literature/clinical evidence supports the need for such testing.

2. New Century Health utilizes the National Comprehensive Cancer Network (NCCN) Biomarkers Compendium® as the primary clinical criteria for determinations. If NCCN Biomarkers Compendium

POLICY #UM ONC_1394
PROPRIETARY & CONFIDENTIAL
is silent on testing/or indication for requested use, NCH will utilize ASCO Clinical Guidelines, and other sources of peer reviewed literature for clinical criteria in making determinations.

3. Benefit coverage of test(s) is determined by benefit plan document and applicable mandated laws that may require coverage for a service. This policy describes requirements to meet clinical criteria and medically necessity of the testing.

IV. PROCEDURE

1. Requests for genomic/biomarker testing are considered appropriate per the National Comprehensive Cancer Network (NCCN) Biomarkers Compendium® for the patient's condition, based on both of the following:
   a. The patient's diagnosis matches the Disease Description field and requested test matches the Molecular Abnormality field
   b. The patient's diagnosis, stage and extent of disease and appropriate workup and sequencing of tests as outlined in the NCCN Recommendation: Clinical Decision field.

2. NCCN Category of Evidence and Consensus is 1 or 2A.
   a. Category 1 Based upon high-level evidence, there is uniform NCCN consensus (85%) that the intervention is appropriate.
   b. Category 2A Based upon lower-level evidence, there is uniform NCCN consensus (85%) that the intervention is appropriate.
   c. Requests that meet the clinical criteria for use with category 1 and 2A evidence are authorized by Peer Clinical Reviewers.

3. Clinical review and/or peer discussion are required for testing requests with a NCCN Category of Evidence and Consensus is 2B or 3.
   a. Category 2B Based upon lower-level evidence, there is NCCN consensus (50%–85%) that the intervention is appropriate.
   b. Category 3 Based upon any level of evidence, there is major NCCN disagreement (at least 3 institutions) that the intervention is appropriate.
   c. Additional resources to determine clinical validity, utility and efficacy to target a patient's specific condition or impact clinical decision-making and care can include but not limited to FDA List of Cleared or Approved Companion Diagnostics Devices (In Vitro and Imaging Tools), peer-reviewed medical literature and individual patient clinical condition.
   d. Requests with category 2B and 3 level of evidence require peer clinical reviewer and may require peer-to-peer discussion with the requesting provider prior to NCH issuing a determination of approval or recommended adverse determination for the request.

4. All submitted requests for authorization follow existing New Century Health policies for determinations, timeframes, documentation, and notification.

V. APPROVAL AUTHORITY

1. Review – Utilization Management Department
2. Final Approval – Utilization Management Committee

VI. ATTACHMENTS

None

VII. REFERENCES

UM ONC_1394 Genomic/Genetic/Biomarker/Tumor Marker Tests_05292020